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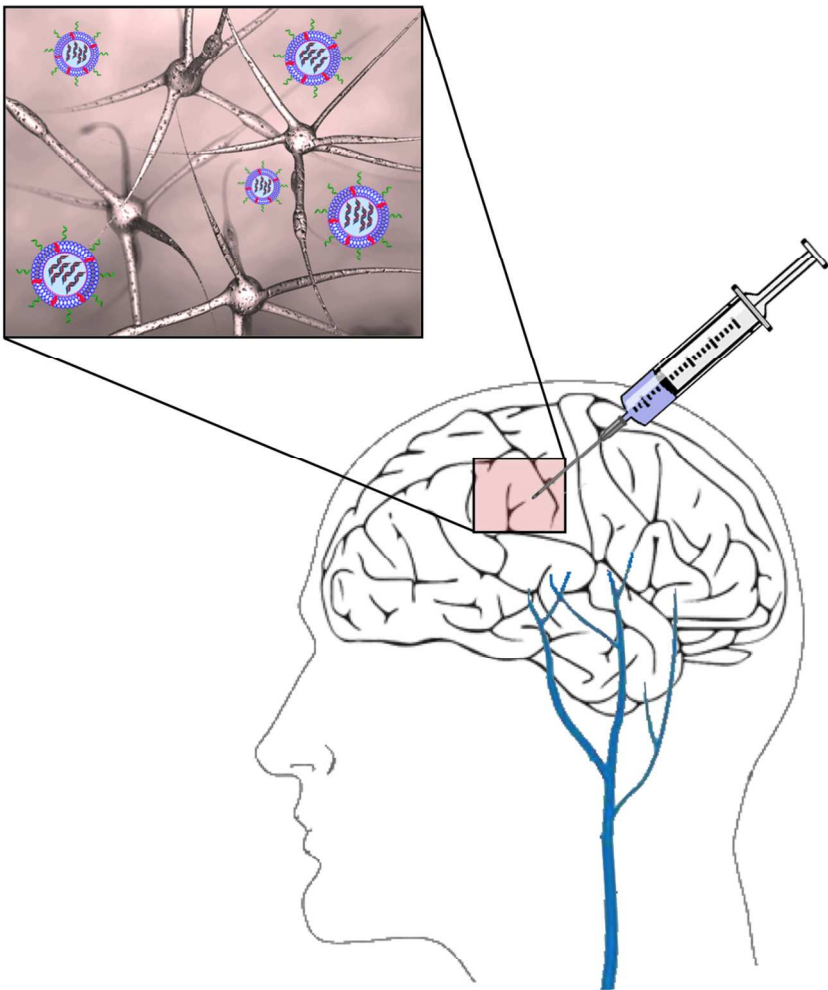
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Graphical Abstract  
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## Localized RNAi Therapeutics of Chemo-Resistant Grade IV Glioma using Hyaluronan-Grafted Lipid-Based Nanoparticles.

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### Abstract

Glioblastoma Multiforme (GBM) is one of the most infiltrating, aggressive and poorly treated brain tumors. Progress in genomics and proteomics has paved the way for identifying potential therapeutic targets for treating GBM. Yet, the vast majority of these leading drug candidates for the treatment of GBM are ineffective, mainly due to restricted passages across the blood brain barrier. Nanoparticles have been emerged as a promising platform to treat different types of tumors, due to their ability to transport drugs to target sites, while minimizing adverse effects. Herein, we devised a localized strategy to deliver RNA interference (RNAi) directly to GBM site using hyaluronan (HA)-grafted lipid-based nanoparticles (LNPs). These LNPs having an ionized lipid previously shown to be highly effective in delivering small interfering RNAs (siRNAs) into various cell types. LNPs surface was functionalized with hyaluronan (HA), a naturally occurring glycosaminoglycan that specifically bind the CD44 receptor expressed on GBM cells. We found that HA-LNPs can successfully bind to GBM cell lines and primary neurospheres of GBM patients. HA-LNPs loaded with Polo-Like Kinase 1 (PLK1) siRNAs (siPLK1) dramatically reduced the expression of PLK1 mRNA and cumulated in cell death even under shear flow that simulate the flow of the cerebrospinal fluid compared with control groups. Next, human GBM U87MG orthotopic xenograft model was established by intracranial injection of U87MG cells into nude mice. Convection of Cy3-siRNA entrapped in HA-LNPs was performed and specific

Cy3 uptake was observed in U87MG cells. Moreover, convection of siPLK1 entrapped in HA-LNPs reduced mRNA levels by more than 80% and significantly prolonged survival of treated mice in the orthotopic model. Taken together, our results suggest that RNAi therapeutics could effectively be delivered in a localized manner with HA-coated LNPs and ultimately may become a therapeutic modality for GBM.

**Keywords:** Glioma; Hyaluronan; Lipid-based nanoparticles; RNAi

Many approaches have been used to treat high grade Glioblastoma Multiforme (GBM), however all of them have failed to improve prognostic and quality of life of patients suffering from this devastating disease. Several groups have reported survival benefits when extent of resection was used as a prognostic factor.<sup>1-3</sup> The use of advanced technology in the operating room (OR) theater have led to more reports supporting aggressive surgical approach to maximize the extent of resection; A multicenter phase III trial<sup>4</sup> comparing fluorescence guided microsurgery with conventional microsurgery of GBM showed a survival benefit in patients who underwent complete tumor resection according to the assessment of residual contrast enhancement on postoperative magnetic resonance images (MRI). Recently, the use of intraoperative MRI guidance showed a benefit in terms of extent of resection compared to conventional microsurgical tumor resection.<sup>5</sup> Besides the local control of the disease by complete removal, which may increases time to progression and survival in a subgroup of patients<sup>1</sup>, and in addition to the use of temozolomide and radiotherapy for patients with favorable methylation status<sup>6</sup>, little progress has been made in the treatment of adult glioblastoma. Several phase III clinical trials<sup>6, 7</sup> in the past decade have all failed to change the course of the disease. The failure of gene therapy, brachytherapy, and convection enhanced delivery of toxins and chemotherapy in treating high grade GBM have paved the way to other innovative approaches such as the use of nanotechnology.

The use of nanomedicine has emerged to elucidate the relationship of the physical and chemical properties (size, shape, surface chemistry, composition, and aggregation) of nanostructures with the ability to deliver therapeutic payloads effectively into tumor cells.<sup>8, 9</sup> Recently, the use of nanoparticles (NPs) has become a potential strategy for diagnosis and treatment of GBM<sup>10</sup> and several studies have shown that different drugs or their active

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3 metabolites entrapped in various types of lipid-based nanoparticles (LNPs) or polymeric  
4 nanoparticles such as PLGA may enhance the therapeutic benefit when treating GBM.<sup>11-15</sup>

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6 Hyaluronan (HA), a naturally occurring glycosaminoglycan, has been shown to play crucial  
7 roles in cell growth, embryonic development, healing processes, inflammation, and tumor  
8 development and progression.<sup>8, 16</sup> HA, the major ligand of the CD44 receptor overexpressed or  
9 constitutively expressed on many types of cancer cells have been widely used by several groups  
10 for the past decade as a targeting moiety coated on the surface of different types of NPs.<sup>17-23</sup> HA  
11 coating on the NPs' surface that were systemically administered into mice bearing different types  
12 of tumors have endowed these carriers with long circulation properties similar to polyethylene  
13 glycol (PEG) and shown to target these carriers into tumor cells in a CD44-dependent  
14 manner.<sup>18, 22</sup> Interestingly, only a few studies examined the interaction between CD44 and HA in  
15 the context of targeting capabilities with different MW of HA coated on the surface of LNPs.<sup>23,</sup>  
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26 Utilizing HA as a targeting moiety endow carriers with specificity to recognize only activated  
27 CD44 that can efficiently bind HA. Bachar G. *et al.*<sup>25</sup> have tested primary thyroid tumors and  
28 normal thyroid cells taken from the same patient in the OR, and found that although CD44  
29 expression in both healthy and cancerous thyroid was high, HA coated LNPs clusters bound only  
30 to the cancerous cells and not to healthy cells in a highly selective manner.<sup>25</sup>

31  
32 Taken together, these results support the use of HA as a targeting moiety for activated CD44  
33 expressed on cancer cells.  
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36 Polo-like kinase 1 (PLK1), a serine/threonine-protein kinase, is an early trigger for G2/M  
37 transition during the cell cycle. PLK1 has been reported to play important roles in malignant  
38 transformation.<sup>26</sup> Investigation into the clinicopathological significance of PLK1 expression in  
39 GBM showed that the expression of PLK1 at the mRNA was significantly higher in glioma  
40 tissues than in corresponding normal brain tissues.<sup>27</sup> The expression of PLK1 mRNA was closely  
41 correlated with WHO grade, KPS and tumor recurrence of glioma patients (P=0.022, 0.030 and  
42 0.041, respectively). Multivariate analysis showed that high PLK1 mRNA expression was a poor  
43 prognostic factor for GBM patients (P=0.028).<sup>28</sup>

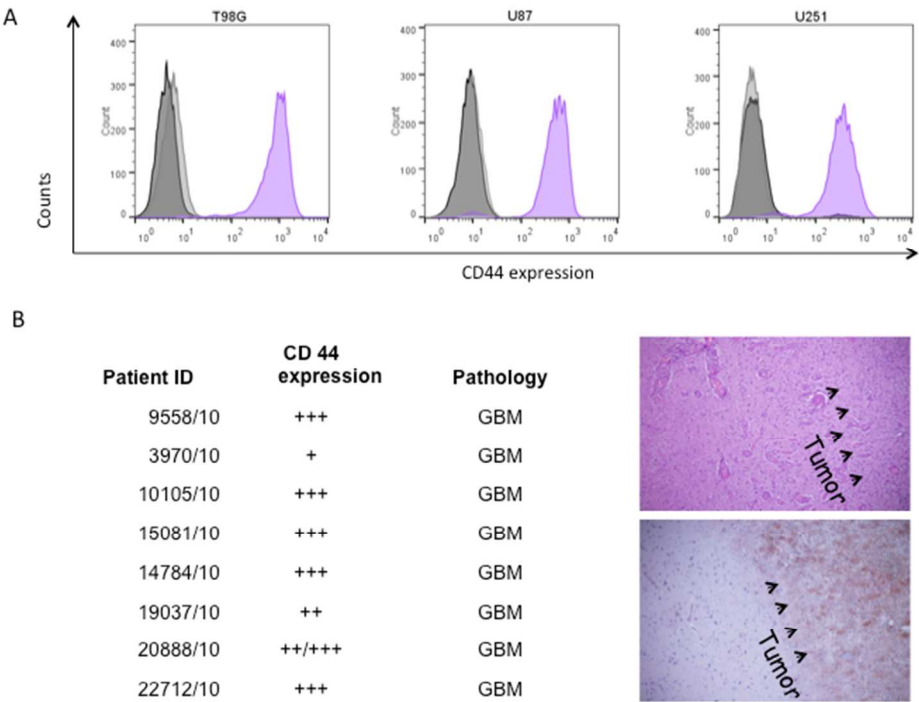
44  
45 We hypothesized that targeting PLK1 could serve as a novel therapeutic approach to kill chemo-  
46 resistant GBM. Thus we devised a novel strategy based on HA-coated LNPs to locally target  
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high grade GBM and deliver PLK1-siRNAs (siPLK1) as a potential RNAi therapeutics to eradicate GBM.

Results and discussion

CD44 is widely expressed on GBM cell lines and primary tumors

We examined the expression of CD44 in human GBM cell lines by flow cytometry and in primary glioma samples (excreted from GBM patients) by immunohistochemistry (Fig. 1). Three representative GBM cell lines were used: T98G, U87MG and U251 all have been reported to be resistant to chemotherapy treatment.<sup>29</sup> Pan anti-CD44 mAb was used to detect the expression of CD44 in all three-cell lines and all have shown to have a high CD44 expression (Fig. 1A). Next, we examined the expression of CD44 in primary glioma cells excreted from patients using immunohistochemistry. Representative staining are shown in Figure 1B and a list of patients samples with semi-quantitative analysis of CD44 expression is depicted. The high expression of CD44 in both cell lines and primary glioma cells enable the use of the natural CD44 ligand, HA, as a targeting moiety coated on LNPs that can aid in cellular retention to and internalization into glioma cells.

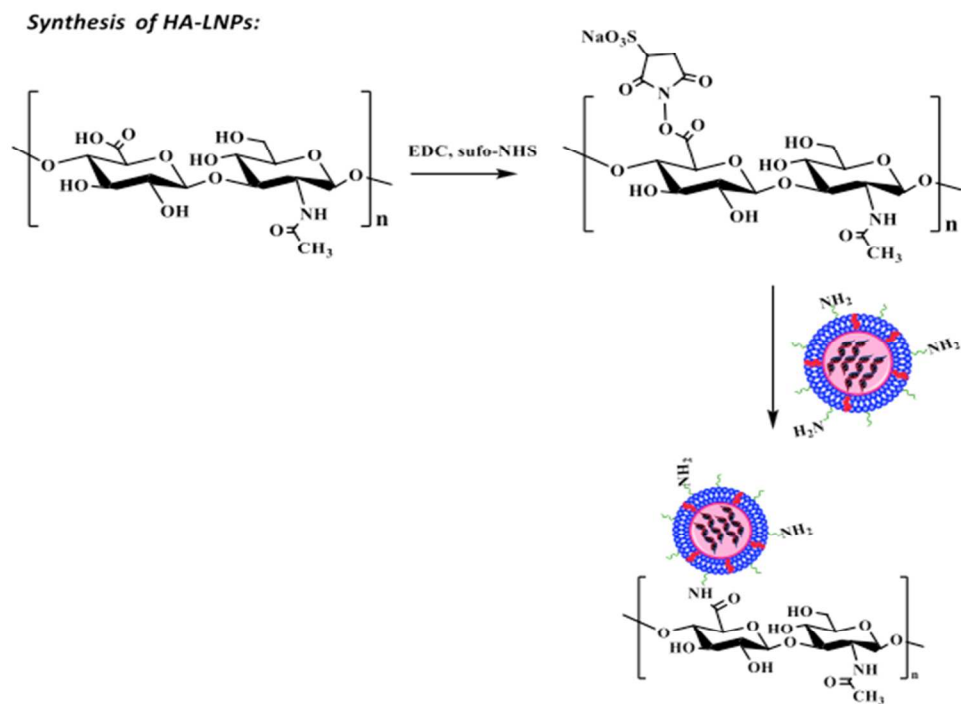


**Figure 1: CD44 is highly expressed in GBM cell lines and primary glioma cells.** **A.** Representative histograms of CD44 expression in GBM cell lines. An anti pan-CD44 mAb was used to stained three different GBM cell lines: T98G, U87MG and U251. (Light grey – no stain; Grey – isotype control mAb; Purple - anti pan-CD44 mAb (clone IM7). **B.** CD44 expression in primary glioma samples excreted from patients using immunohistochemistry analysis as detailed in the experimental section. Analysis score was based on CD44 scattering within the tumor site. This staining is semi-quantitatively scored; + (positive), ++ (strongly positive), or +++ (very strongly positive).

### Synthesis and characterization of HA-coated LNPs entrapping siRNAs

LNPs containing siRNAs were prepared using microfluidic micro mixture as previously reported with the inclusion of the ionized lipid Dlin-MC3-DMA<sup>30</sup> (see supplementary Fig. 1 & 2) and a small amount (0.5 mole %) of DSPE-PEG-NH<sub>2</sub> as detailed in the experimental section. Next, in order to functionalize these LNPs containing siRNAs with HA, the carboxylic groups of HA (MW 5KDa) were activated by classical EDC/sulfo-NHS chemistry. HA (0.3mg, 5X10<sup>5</sup> mmol) was dissolved in water followed by the addition of a carbodiimide, EDC (0.2mg, 10X10<sup>5</sup> mmol) and sulfo-NHS (0.3mg, 10X10<sup>5</sup> mmol). The reaction mixture was stirred gently by shaker for 2 h followed by addition of amine-functionalized nanoparticles at pH 7.8 (containing 0.03mg of PEG-amine, 1X10<sup>5</sup> mmol) and stirring continued for additional 3 h at room temperature. The reaction mixture was dialyzed against PBS, pH 7.4 using a 12-14kD cutoff membrane for 24 h to remove excess HA and cross linkers.

Schematic illustration of the HA conjugation to LNPs is listed in Fig. 2.



**Figure 2: Schematic illustration of HA conjugation to LNPs-PEG-NH<sub>2</sub>.** HA (5KDa) is activated by classical amine coupling strategy (EDC and Sulfo-NHS) as detailed in the experimental section. LNPs-PEG-NH<sub>2</sub> entrapping siRNAs using the microfluidic nanoassembly<sup>TM</sup> is then mixed and incubated with the activated HA. Purification of unbound HA is performed using extensive dialysis as detailed in the experimental section.

Typical size distribution of LNPs-NH<sub>2</sub> entrapping siRNAs were around 80 nm in diameter with a narrow size distribution as measured by dynamic light scattering and a mildly positive zeta potential (Fig. 3A). Conjugating HA to LNPs increased the size distribution of the LNPs to about 100 nm in diameter and decreased the zeta potential to around -8 mV (Fig. 3A). These findings are in good agreement with previously reported studies showing increase in size distribution and decrease in zeta potential when HA was present on LNPs surface.<sup>24,22, 23</sup> The entrapment efficiency of siRNAs was ~ 94% for LNPs-NH<sub>2</sub> and ~80% for HA-LNPs assayed by a ribogreen assay as previously reported (Fig. 3A).<sup>31</sup> The ultrastructure of HA-LNPs and LNPs-NH<sub>2</sub> was investigated using Transmission Electron Microscopy (TEM) and Scanning electron microscopy (SEM) (Fig. 3B-E). LNPs-NH<sub>2</sub> were found to have globular shapes in TEM (Fig. 3B) and SEM (Fig. 3C) with typical diameters of ~ 80-90 nm. HA-LNPs also had globular shapes in TEM (Fig.

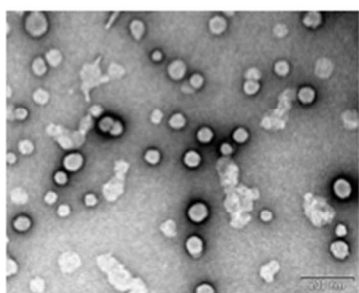


3D) with flower-like structures found in SEM (Fig. 3E). These particles had larger diameters (above 100nm in diameter in average).

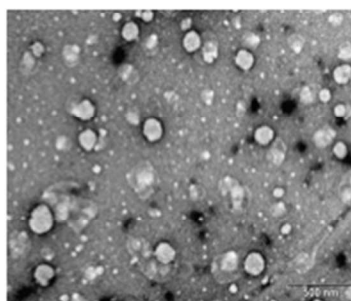
A.

	LNPs-NH <sub>2</sub>	HA-LNPs
Size (d.nm)	79 ± 3	100.7 ± 3
Zeta potential (mV)	3.8 ± 1	-8.2 ± 0.7
siRNAs encapsulation efficiency (%)	94.0 ± 4	80.0 ± 11

B.



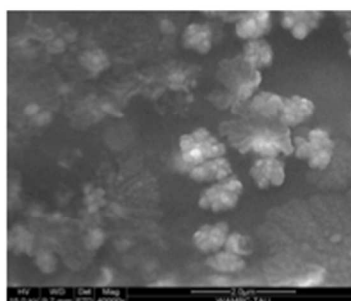
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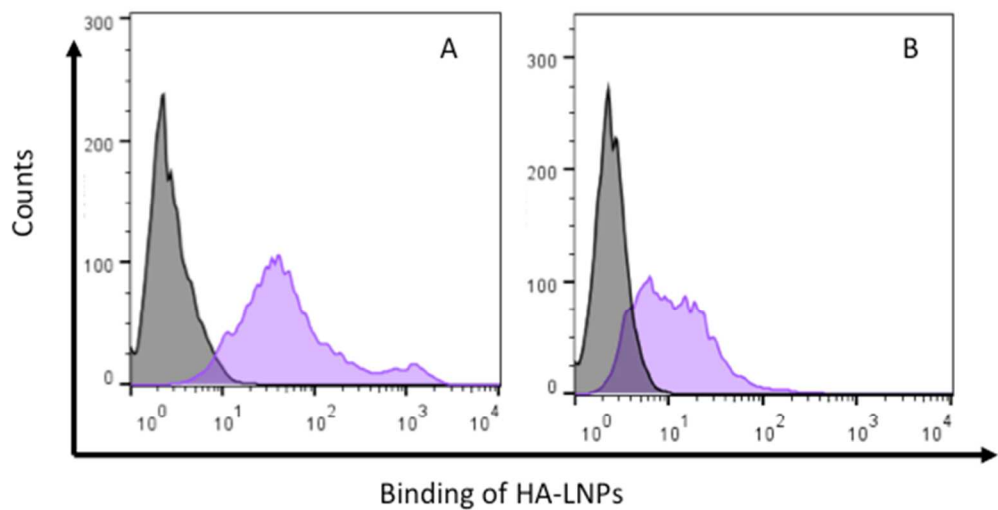


**Figure 3: Physicochemical and structural characterization of HA-LNPs and LNPs-NH<sub>2</sub>.** A. Size distribution and Zeta potential are performed on a Malvern Zetasizer™ as detailed in the experimental section. Entrapment of siRNAs is measured using Ribogreen™ assay as detailed in the experimental section. B. and D. TEM analysis of LNPs-NH<sub>2</sub> and HA-LNPs, respectively. Bar scale - 200 nm; C. and E. SEM analysis of LNPs-NH<sub>2</sub> and HA-LNPs, respectively. Bar scale - 1 μm. Methods and instrumentation are detailed in the experimental section. LNPs-NH<sub>2</sub> were found to have globular shapes and round surfaces whereas HA-LNPs exhibit a flower-like shape on the particles.

### HA-LNPs bind to glioma cells

We next investigated if HA-LNPs can specifically bind to glioma cells. Both types of particles entrapped Cy5-siRNAs that were used as a sensitive marker. HA-LNPs bound to U87GM cells (Fig. 4A) and primary GBM from GBM patients (Fig. 4B). The control particles without the targeting ligand, LNPs-NH<sub>2</sub>, did not bind U87MG nor the primary glioma patient sample. These results are in good agreement to other nano-scale delivery platforms that utilized HA as their targeting moiety towards cells expressing CD44.<sup>17, 18, 22-24, 32</sup> Moreover, a study conducted on

primary cells from patients with head and neck cancers found that HA binds with high affinity only to thyroid cancer cells but not normal thyroid cells from the same patient.<sup>25</sup> In another study, when cells expressing CD44 were blocked with a mAb against pan-CD44, HA was not able to bind the cells.<sup>21</sup> Taken together, these results support the hypothesis that utilizing HA as a targeting moiety coated on the surface of nano-scale drug delivery systems provide selective targeting to cells expressing an activated form of CD44 (or clustered receptors) and might be used for active cellular targeting.



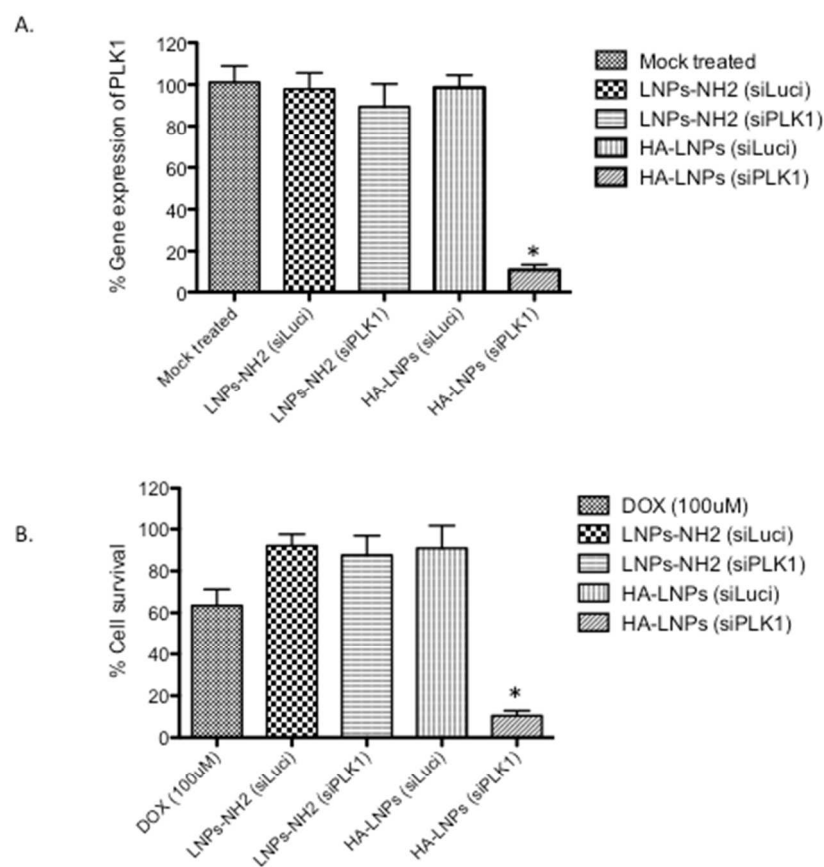
**Figure 4: HA-LNPs bind specifically to glioma cells.** **A.** Representative histograms are shown. HA-LNPs (purple curve) specifically bind to GBM cell line (U87MG cells) whereas LNP-NH<sub>2</sub> (Grey curve) did not bind to the GBM glioma cell line. **B.** HA-LNPs (Purple curve) bound to patient sample whereas the control particles, LNP-NH<sub>2</sub> (Grey curve) did not bind the cells.

**PLK1 induce cell death in Glioma cells**

GBM cells are known to be resistant to chemotherapy.<sup>33</sup> In order to verify resistance of U87MG cells to chemotherapy we tested two classical chemotherapeutic agents (doxorubicin and BCNU) at different doses. Both confirmed the inherent resistance of U87MG (Supplementary Fig. 3). Thus, the use of a sequence specific cell cycle inhibitor in the form of an siRNA is expected to bypass this resistance mechanism since large molecule are not effluxed out from cells by extrusion pumps.<sup>33, 34</sup> We entrapped siPLK1 or control siRNA (siLuciferase; siLuci) in HA-LNPs and in the control particles (LNP-NH<sub>2</sub>) lacking the targeting ligand. HA is expected to retain the particles at the cell surface or inside the cells as binding of HA to CD44 (in the case of cancer cells) have a low  $K_d$  as we previously shown.<sup>22, 24</sup> The experiment was done under shear flow conditions as previously detailed<sup>35</sup> in order to simulate the cerebrospinal fluid (CSF) flow

for 10 min followed by incubation in static condition with fresh media. 72 h post transfection, cells were analyzed for mRNA levels of PLK1.

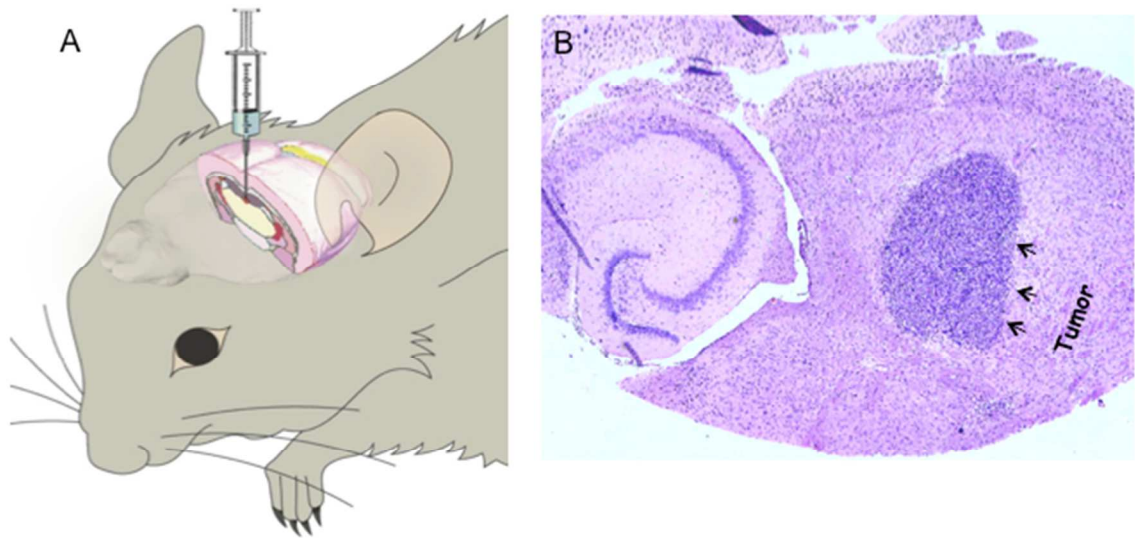
HA-coated LNPs induced a robust gene silencing under shear flow both at the mRNA and PLK1 protein level (Fig. 5A and Supplementary Fig. 4). PLK1 protein was silenced for 96 h and recovery of the protein level was observed at 144 h post transfection (Supplementary Fig. 4). This silencing effect was specific since HA-coated LNPs with siLuci did not reduce the expression of PLK1 mRNA. In addition, the robust silencing observed with siPLK1 delivered *via* HA-LNPs was translated to effective cell death (Fig. 5B). The control particles (LNPs-NH<sub>2</sub>) did not reduce mRNA levels of PLK1 when siPLK1 or siLuci were applied, nor did they induce cell death. This implies that the HA coating on the LNPs surface bind with high affinity to CD44 expressed on the GBM cells even under shear flow and that the internalization process is fast and efficient. These results are in good agreement with our previous confocal microscopy analysis that shows in ovarian cancers and in melanoma (all expressing high levels of CD44) an efficient and fast internalization of HA-coated nanoparticles.<sup>22, 23</sup>



**Figure 5: PLK1 induce specific cell death in Glioma cells.** A. PLK1 gene expression was quantifying using QPCR as detailed in the experimental section. U87MG cells were incubated with HA-LNPs or LNPs-NH<sub>2</sub> with either siLuci or siPLK1 under shear flow conditions to simulate CSF flow. A robust knockdown was observed in the siPLK1 treatment when delivered via HA-LNPs. B. XTT cell survival assay was performed on cells treated with the same types of treatments as listed in A. Doxorubicin (DOX), a known chemotherapy, was used as a positive control. \* denoted  $p < 0.001$

**U87MG orthotopic xenograft model establishment**

Next, we utilized human U87MG cells to generate an orthotopic xenograft model in athymic BALB/c *nu/nu* mice. This is a well-established model for studying the growth, biology, and treatment of human gliomas.<sup>36</sup> We injected 3- $\mu$ L suspension of  $5 \times 10^5$  U87MG cells into each animal as detailed in the experimental section. Histological analysis was performed at day 12 days post inoculation and a representative histology is presented in Fig. 6.

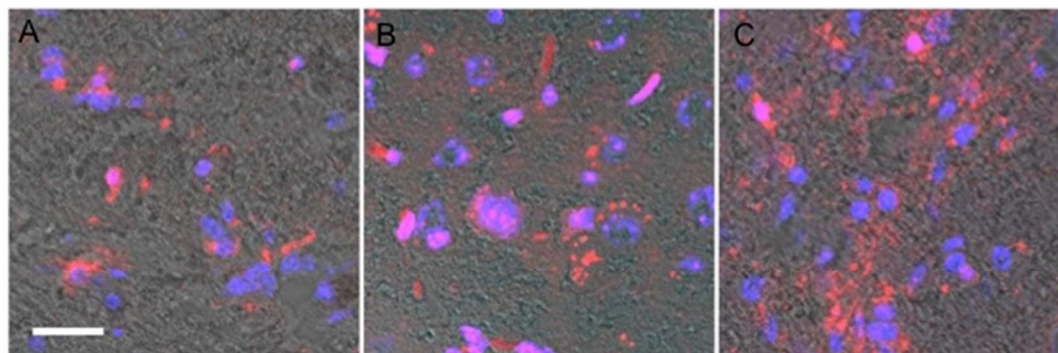


**Figure 6: Orthotopic GBM model.** A. Schematic illustration of stereotactic implantation of the U87MG cells. B. Representative histological analysis using H&E staining was conduct to evaluate tumor size and location 12 days post tumor inoculation.

**Cy3-siRNAs delivered via HA-LNPs are taken up by U87MG cells *in vivo***

Next, we administered 3 $\mu$ L of 0.2mg / Kg body Cy3-siRNAs via HA-LNPs or via LNP-NH<sub>2</sub> directly into the tumor vicinity at day 20 from tumor inoculation (see experimental section for more details) and sacrificed the mice (n= 6), 3, 6 and 24 h post HA-LNPs administration. Brain were sectioned and immediately taken into confocal microscopy analysis to identify the

distribution of the Cy3-siRNAs within the tumor at different time points. Representative data are presented in Fig. 7.



**Figure 7: Cy3-siRNAs are taken up by U87MG cells.** Representative confocal microscopy images are presented. HA-LNPs were injected into tumor site as detailed in the experimental section. 3 hours (A), 6 hours (B) and 24 hours (C) after LNPs administration, animals were sacrificed and Cy3-siRNA (Red) location was detected using confocal microscopy analysis. DAPI (Blue) was used for nuclear staining. Bar scale - 50 $\mu$ m.

Detection of Cy3 signal was observed only in HA-LNPs treated mice in all sections (Fig. 7) and increased with time from 3, 6 to 24 h post administration (compare Fig. 7A, B and C). We attribute this result to the specific binding of HA to CD44 expressed on U87MG cells. When administered with LNPs-NH<sub>2</sub> we could not detect any Cy3 signal in the tumor tissue (data not shown). This result is in good agreement to the result we got when LNPs-NH<sub>2</sub> did not adhere to U87MG cells under shear flow (Fig. 5) since we have not observed silencing of PLK1 or cell death associated with their incubation with the cells under shear flow. We speculate that shear flow by the CSF may cause LNPs-NH<sub>2</sub> not to adhere to the U87MG cells.

### **Silencing of PLK1 in U87MG cells prolongs the survival of GBM-bearing mice.**

We next utilized the GBM orthotopic model to test *in vivo* silencing of PLK1 upon 2 local administrations (0.5mg/Kg body each) at day 20 and 22 of tumor inoculation. In order to identify the tumor cells from other types of cells in the brain, tumor tissue was taken out, a single cell suspension was made (see experimental section) and the cells were incubated with a surface marker expressed on U87MG cells (CD44v6).<sup>37</sup> An anti-human CD44v6-FITC (non-cross reactive with mice) was incubated on ice for 30min, then washed twice and subjected to FACS sorting as detailed in the experimental section. FACS (FACSAria III, BD) sorted cells were analyzed for PLK1 mRNA levels using QPCR. A robust knockdown of 80% was observed in

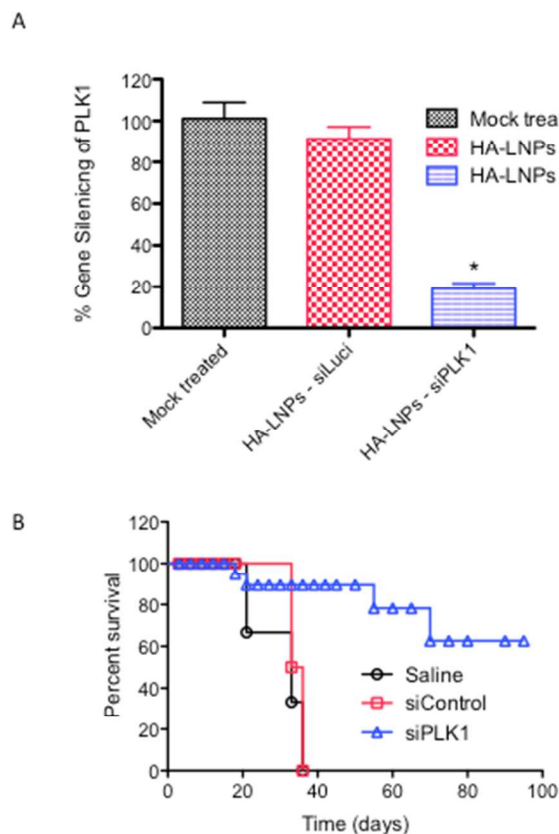
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U87MG CD44v6<sup>+</sup> cells treated with siPLK1 that was delivered via HA-LNPs (Fig. 8A). We have not observed any silencing with siLuci as expected. Since we have not seen any delivery of Cy3-siRNAs with the LNPs-NH<sub>2</sub> strategy we did not include this delivery system in this experimental setting.

Next we isolated primary mouse cells from the brain (see experimental section) and FACS sorted these cells using an anti-mouse CD11b mAb in order to obtain mouse microglia cells. These cells might be involved in a potential local inflammatory response when siRNAs are delivered.<sup>38</sup> We incubated these cells with siPLK1 entrapped in HA-LNPs at two doses (0.05 and 0.5mg/Kg siRNA) and probed for TNF- $\alpha$  and IL-6 levels 6 hours post incubation with the primary cells. LPS was used as a positive control. We have not observed any induction of the proinflammatory cytokines in the low concentration, and a very mild induction in the higher concentration (Suppl. Fig. 5). These results support the hypothesis that HA-LNPs protect siRNA in a very efficient manner and do not trigger a proinflammatory response even when directly interact with CD11b<sup>+</sup> cells.

It has been shown that robust silencing of PLK1 induced tumor regression in different tumors implanted in nude or SCID mice.<sup>26 39</sup> We used the orthotopic GBM model to examine the effect on survival of the mice (Fig. 8B). We administered 4 locally into the GBM tumor site, 3 $\mu$ L (per administration) of 0.5mg/Kg body of siPLK1 or siLuci at days 7 and 9 post tumor inoculation and again at days 20 and 22 post tumor inoculation. It was reported that the median survival of mice (mock treated) in this model are ranging from 24-30 days post tumor inoculation.<sup>36</sup> In our hands the median survival of Mock-treated mice was 33 days. Mice receiving 4 administrations of siLuci in HA-LNPs had a median survival of 34.5 days and those receiving siPLK1 had prolong survival with a remarkable 60% survival at day 95 post tumor inoculation according to Kaplan-Meier survival analysis (p = 0.0012, between siPLK1 and siLuci treated group). This is the longest ever reported survival of mice in this orthotopic GBM model. In addition, this is the first time therapeutic siRNAs are being used in localized treatment to achieve therapeutic benefit in an orthotopic model of GBM.





**Figure 8: Therapeutic gene silencing prolongs the survival of GBM-bearing mice.** **A.** Robust *in vivo* gene silencing in siPLK1 treated mice is shown (n = 10 mice / group). Animals were treated twice as detailed in the experimental section. Tumor cells were FACS sorted via a surface marker and PLK1 mRNA level was quantified using QPCR. \* denoted  $p < 0.001$ . **B.** Kaplan-Meier survival analysis of GBM-bearing orthotopic U87MG cells (n=10 / group) treated with siControl (siLuciferase), siPLK1 or saline. Overall 4 administrations were given at days 7 and 9 post tumor inoculation and then at days 20 and 22 post tumor inoculation.

Our findings with siPLK1-loaded HA-LNPs may be expanded for additional targets, using payloads that may yield a more potent effect. We assume that an optimal selection of the target genes should take into consideration the specific GBM subtype, as different subtypes present different genetic profile. Subtype specific payloads could thus targeted towards Notch pathway or PDGFRA for proneural GBMs<sup>2</sup>, EGFRvIII for Classic GBM or PD-L1<sup>3</sup> and RelB (an oncogenic driver of tumor growth and invasion<sup>2</sup>) for mesenchymal GBM. In addition, treatment could also be directed towards glioma like stem cells (GSCs), which are radio-resistant and chemo-resistant (leading to tumor recurrence) by using siRNA against STAT3 or SOX2.<sup>40</sup> Other potential therapeutic targets include the tumor microenvironment, which was shown to play an

important role in tumor progression, stem cells maintenance, and therapy resistance.<sup>41, 42</sup> RNAi targeted for the microenvironment include siRNAs for immunosuppression (siRNA for PD-L1 and IDO)<sup>43</sup> or angiogenesis.<sup>44</sup>

GBM is a complex and genetically unstable tumor characterized by a multitude of chromosomal gains and losses, gene mutations and amplifications, epigenetic dysregulation, and aberrant post-translational modifications. We assume that in order to effectively overcome GBM resistance, multi-targeting payload should be used. To that end, combinational treatment with multiple siRNAs simultaneously or miRs might provide a clinical therapeutic benefit. HA-LNPs delivery strategy can potentially carry sufficient amounts of RNAi payloads and thus has the capacity to load combinations of RNAi to silence several pathways simultaneously. Examples include silencing for growth factors (such as EGFR, PDGFR), inhibition of angiogenesis pathways (such as VEGF, Integrins) or inhibition of intracellular signaling pathways (such as PI3K/AKT/mTOR or Ras/Raf/MAPK). Other combinations will be directed towards cancer stem cells targeting CXCR4 with VEGF, PDK1 with CHK1 and EGFR with PDGFR. Alternatively, a single miRNA that may regulate number of target genes, suppress and/or silence cellular pathway(s), could serve as the desired payload.<sup>45</sup>

HA-LNPs loaded with RNAi could also be combined with conventional treatment to increase tumor susceptibility to chemotherapy and irradiation. Namely, targeting genes like MGMT, Cx43, HeR1/EGF-R<sup>46</sup>, VEGF<sup>44</sup>, BCL-2 and Toll-like receptors that have the potential of synergistic responses.<sup>28, 46</sup> siRNA for the MDR-1 gene can increase drugs treatment efficiency, as this gene's overexpression is correlated with drugs resistance in GBM. Ultimately, a tailored treatment that is optimized for the GBM subtype, gene expression and expected selection (depending on the chosen treatment) would most probably be highly effective for GBM patients. Upon choosing the appropriate target genes, the HA-LNPs technology could be translated into medical practice. Treatment could thus be achieved by applying repeated doses of HA-LNPs loaded with siRNAs using "frameless stereotactic apparatus". This approach enables administration of NPs into a precise location of the tumor/tumor bed and allows accurate local targeting. Repeated administrations would be conducted at the operating room using frameless guided rapid single dose delivered each time. Alternatively, frameless guided placement of a catheter in the operating room and after several hours' administration of the nanoparticles through this catheter will be conducted under supervision in the neurosurgical intensive care unit.



This procedure of convection-enhanced delivery (CED)<sup>47</sup>, will allow continuous and slow administration of the medicine, for up to 4 days in each round of treatment.

## Conclusions

In this study we have devised a novel strategy to target locally drug resistant glioma cells using lipid-based nanoparticles (LNPs) coated with hyaluronan (HA), a CD44 natural ligand. These LNPs denoted HA-LNPs efficiently entrap siRNAs and deliver them to GBM cells in a specific manner while utilizing the CD44-HA interaction. HA-LNPs retain at the tumor vicinity even under shear flow and induce therapeutic gene silencing *in vitro* that cumulate in efficient cell death. In an orthotopic GBM mouse model, a robust (80%) knockdown in PLK1 mRNA level was observed in mice treated with siPLK1 delivered via HA-LNPs compared with other controls. This specific cell cycle inhibition by Polo-like Kinase 1 (PLK1) resulted in a dramatic prolongation of survival beyond any published report in this model. Our data suggest that this strategy may be applicable to clinical translation (using the microfluidic system) and imply that RNAi therapeutics could be effectively delivered in a localized manner. This strategy might aid as a novel tool to study gene expression of GBM within its microenvironment in the brain and ultimately might become a new therapeutic modality for GBM.

## Methods

### Cell lines

T98G, U251 and U87MG (WHO grade IV) human glioblastoma cell lines were used as model cells for GBM. The selected cell lines represent a spectrum of different genetic lesions. All cell lines were grown in monolayer and maintained in high-glucose (4.5 g/L) Dulbecco's modified Eagles's medium (DMEM) supplemented with 10% fetal bovine serum (FBS), 1% penicillin/streptomycin, and 2 mM L-glutamine (Biological Industries). Cells were incubated at 37°C with 5% CO<sub>2</sub> and were subcultured twice weekly.

### Flow cytometry analysis and Immunohistochemistry

Flow cytometry of cell surface CD44 antigens was performed as previously described.<sup>22</sup> Briefly, Alexa Fluor 488-conjugated rat anti-human CD44 (clone 1M7) from Biolegend (San Diego, CA, USA) or IgG2b isotype control were incubated with  $0.5 \times 10^6$  GBM cells ( $0.25 \mu\text{g}$  per  $10^6$  cells) on ice for 30 min followed by washing with PBS. Data was acquired using FACSCalibur with CellQuest software (Becton Dickinson, Franklin Lakes, NJ, USA). Data analysis was performed using the FlowJo software (Tree Star, Inc., Oregon, USA).

Eight paraffin blocks of GBM patients and a single Gliosarcoma block were identified by examination of hematoxylin and eosin stained slides. From each tumor block,  $4 \mu\text{m}$  thick sections were cut onto positive charged slides and used for IHC. The slides were warmed up to  $60^\circ\text{C}$  for 1 hour and after that processed to a fully automated protocol (Benchmark XT, Ventana medical system, Inc., Tucson, AZ, USA) and the related Ventana reagents were used, using standard manufacturer's instructions. Briefly, after sections were dewaxed and rehydrated, a CC1 standard Benchmark XT pretreatment (60 min) for antigen retrieval was selected (Ventana Medical Systems). Sections were then incubated 40 min with a prediluted mouse anti-human CD44 (08-0184 from Zymed, San Francisco, CA, USA). Detection was performed with ultraView detection kit (Ventana Medical Systems) and counterstained with hematoxylin (4 min) (Ventana Medical Systems). After the run on the autostainer was completed, slides were dehydrated in 70% ethanol, 95% ethanol and 100% ethanol for 10 second each ethanol. Before coverslipping, sections were cleared in xylene for 10 seconds and mount with Entellan. Analysis score was based on CD44 scattering within the tumor site. This staining is semiquantitatively scored; + (positive), ++ (strongly positive), or +++ (very strongly positive).

**Preparation of Lipid-based nanoparticles**

Synthesis of Dlin-MC3-DMA: The ionizable lipid Dlin-MC3-DMA was synthesized according to a reported method.<sup>48</sup> Dlin-MC3-DMA structure was confirmed by NMR and ES-Mass spectrums (see supplementary Fig. 1 & 2).

Preparation of amine functionalized LNPs and siRNA entrapment: LNPs were prepared using microfluidic micro mixture (Precision NanoSystems, Vancouver, BC) as reported previously<sup>30</sup>, briefly one volume of lipid mixtures (Dlin-MC3-DMA, DSPC, Chol, DMG-PEG and DSPE-PEG amine at 50:10:38:1.5:0.5 mole ratio, total lipid concentration  $9.64 \text{mM}$ ) were prepared in ethanol. Three volumes of siRNA (1:16 w/w siRNA to lipid) (Cy3-siLuci, siLuci, or siPLK1)

containing acetate buffer solutions were mixed using dual syringe pump (Model S200, kD Scientific, Holliston, MA) to drive the solutions through the micro mixer at a combined flow rate of 2 ml/minute (0.5mL/min for ethanol and 1.5mL/min for aqueous buffer). The resultant mixture was dialyzed against PBS (pH 7.4) for 16 h to remove ethanol.

Luciferase-siRNA (siLuci) sequence was published<sup>49</sup> as well as PLK1-siRNA sequence (siPLK1).<sup>26</sup>

### Functionalization of LNPs with HA

HA modification of LNPs was achieved by amine coupling. First, carboxylic groups of HA (MW 5KDa, Lifecore Biomedical LLC, USA) were activated by EDC/sulfo-NHS method as we previously reported.<sup>22, 23</sup> HA (0.3mg,  $5 \times 10^5$  mmol) was dissolved in water followed by the addition of EDC (0.2mg,  $10 \times 10^5$  mmol) and sulfo-NHS (0.3mg,  $10 \times 10^5$  mmol). The reaction mixture was stirred by a gentle shaker for 2 h followed by addition of amine-functionalized nanoparticles at pH 7.8 (containing 0.03mg of PEG-amine,  $1 \times 10^5$  mmol) and stirring continued for another 3 h. The reaction mixture was dialyzed against PBS (7.4) using a 12-14kD cutoff membrane for 24 h to remove excess HA and EDC. HA was quantified as previously demonstrated.<sup>50</sup> The final HA/lipid ratio was typically 75  $\mu$ g HA/ $\mu$ mole lipid as assayed by <sup>3</sup>H-HA (ARC, Saint Louis, MI).

### Size distribution and Zeta potential measurements.

Particle size distribution and zeta potential measurements were determined by light scattering using Malvern nano ZS Zetasizer (Malvern Instruments Ltd. Worcestershire, UK). Size measurements were performed in HBS pH 7.4 and zeta potential measurements were performed in 0.01XHBS pH 7.4. Each experimental result was an average of at least six independent measurements.

### Ultrastructure analysis of HA-LNPs and LNPs-NH<sub>2</sub> by electron microscopy

*Transmission Electron Microscopy (TEM) analysis.* LNPs were analyzed by transmission electron microscopy for their size and shape. A drop of aqueous solution containing LNPs (with or without HA) were placed on a carbon coated copper grid and air-dried. The analysis was carried out on Joel 1200 EX (Japan) transmission electron microscopy.

Scanning Electron Microscopy (SEM): LNPs containing aqueous sample (with or without HA) were dried on silica wafer and analysis was carried out on Quanta 200 FEG (USA) scanning electron microscopy.

**siRNA entrapment efficiency**

siRNA encapsulation efficiency was determined by the Quant-iT RiboGreen RNA assay (Life Technology) as previously described by us and others.<sup>51-53</sup> Briefly, the entrapment efficiency was determined by comparing fluorescence of the RNA binding dye RiboGreen in the LNP-NH<sub>2</sub> and HA-LNPs samples, in the presence and absence of Triton X-100.<sup>52</sup> In the absence of detergent, fluorescence can be measured from accessible (unentrapped) siRNA only. Whereas, in the presence of the detergent, fluorescence is measured from total siRNA<sup>53</sup> thus, the % encapsulation is described by the equation:

$$\% \text{ siRNA encapsulation} = [1 - (\text{free siRNA conc.} / \text{total siRNA conc.})] \times 100$$

**Quantification of mRNA levels by QPCR.**

The mRNA levels of polo-like kinase 1 (PLK1 gene) in cells was quantified by real-time PCR. 72 h post transfection (10 min under shear flow and additional 72 h under static conditions with full fresh media). Total RNA was isolated using the EzRNA RNA purification kit (Biological industries, Beit Haemek, Israel), and 1 µg of RNA from each sample was reverse transcribed into cDNA using the High Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster City, CA), Quantification of cDNA (5 ng total) was performed on the step one Sequence Detection System (Applied Biosystems, Foster City, CA), using syber green (Applied Biosystems). GAPDH was chosen as a house keeping gene.

For real time PCR the following primers were chosen:

Primers for PLK1:

Forward - ACCAGCACGTCGTAGGATTC

Reverse - CAAGCACAAATTTGCCGTAGG

Primers for GAPDH:

Forward - TCA GGG TTT CAC ATT TGG CA

Reverse - GAG CAT GGA TCG GAA AAC CA

### U87MG orthotopic GBM model establishment

Cells were maintained in Dulbecco's modified Eagle's medium supplemented with 10% bovine serum and incubated at 37°C in a humidified atmosphere containing 5% carbon dioxide/95% air. On the day of implantation, monolayer cell cultures were harvested using a 0.05% trypsin/ethylene ediamine tetra acetic acid solution. Cells were counted, resuspended in 3  $\mu$ L of PBS.  $5 \times 10^5$  U87MG cells were injected into each animal in a 3  $\mu$ L volume.

#### *Animal Hosts*

4- to 6-week-old female nude mice (strain nu/nu), each weighing  $\sim 20$  g, were used for this study. All procedures were performed in accordance with regulations of the Animal Care and Use Committee of the Sheba Medical Center. The mice were housed in groups of five in cages within a standardized barrier facility and maintained on a 12-hour day/night cycle at 23°C. Animals were given free access to laboratory chow and water. All instruments were sterilized before the procedure and sterile small-animal surgical techniques were used. The mice were allowed to feed until the time of surgery. Animals were anesthetized by intraperitoneal injection of ketamine/xylazine solution (200 mg ketamine and 20 mg xylazine in 17 ml of saline) at a dosage of 0.15mg/10 g body weight.

*Identification of implantation site.* The animal's head was stabilized manually by holding it with one finger behind the interaural line. The skin was prepared with povidone iodine solution and then a 2- to 3-mm-long incision was made just to the right of midline and anterior to the interaural line so that the coronal and sagittal sutures can be identified; the bregma is located. The entry site was marked at a point 2.5 mm lateral and 1 mm anterior to the bregma. This point is chosen because it is located directly above the caudate nucleus, which has been shown to be a highly reliable intracranial site for tumor engraftment.

*Drill Hole Placement.* Using a small hand-controlled twist drill that is 1 mm in diameter a drill hole was made in the animal's skull at the entry point. The drill bit penetrates the dura and thereby opens it.

*Cell Injection With Hamilton Syringe.* The 3- $\mu$ L cell suspension was drawn up into the cuffed of the 26-gauge needle of a Hamilton syringe. Using a stereotactic apparatus the needle of the Hamilton syringe was slowly lowered into the central skull hole made by the twist drill. Based on the entry point and the depth of needle penetration, it is certain that the cells are injected into

the caudate nucleus. The cell suspension was slowly injected (typically over 5 minutes) into the mouse's brain. After the entire volume of the cell suspension was injected, the needle was manually removed. A suture was placed to close the scalp. The mice were kept warm until they recover from anesthesia and were allowed to move around freely until the time of intratumoral injection of the therapeutic interventions. In the interim the injected tumor cells proliferate and establish themselves as intraparenchymal xenografts. The technique of intratumoral injection mimics the technique of tumor cell implantation, except that HA-LNPs were delivered into the established xenograft in 4 doses of 3  $\mu$ L each. The first doses were given at days 7 and 9 and the next disease were given at days 20 and 22. Mice were monitored for global toxicity changes including changes in bodyweight that were not observed for the entire period of the experiment.

**Assessing PLK1 knockdown *in vivo*.**

In order to identify the U87MG cells *in vivo* upon single Cy3-siRNA administration (entrapped within HA-LNPs), mice were sacrificed 3, 6 and 24 h post administration. Single cell suspension from brain tissue was performed. Neural tissues were dissociated to single-cell suspension by enzymatic degradation using the GentleMACS Dissociator and neural tissue dissociation kit (Miltenyi Biotech), according to the company's protocol. Briefly, mice were perfused with either HBSS or PBS and brains were removed and weighed in order to adjust the buffers and enzyme mix to the amount of tissue. A pre-warmed enzyme mix was added to the tissue and incubated with agitation at 37 °C. The tissue was mechanically dissociated and the suspension was applied to a 70 $\mu$ m strainer. Myelin was removed using Myelin Removal Beads II (Miltenyi Biotech) as it can interfere with flow cytometric analysis. Cells were processed immediately and stained with anti-human CD44v6-FITC (non-cross reactive with mice, clone MCA1730F, Bio-Rad) in order to identify the U87MG cells. Cells were incubated on ice for 30min, then washed twice and subjected to FACS sorting using FACSaria III (BD). Sorted cells were moved directly into EzRNA RNA purification kit (Biological industries, Beit Haemek, Israel) and analyzed for PLK1 mRNA levels using QPCR as detailed above.

**Statistical analysis**

Differences between two means were tested using an unpaired, two-sided Student's *t*-test. Differences between treatment groups were evaluated by one-way ANOVA test of SPSS software. Kaplan-Meier survival analysis was performed with a GraphPad Prism version 5.0b.

*Conflict of Interest:* D.P. has financial interest in Quiet Therapeutics. The rest of the authors declare no competing financial interest.

*Supporting Information Available:* NMR spectrum of Dlin-MC3-DMA, ESI-MS of Dlin-MC3-DMA and cell survival assay of U87MG cells. Cytokine induction in primary CD11b<sup>+</sup> cells assays are also included in the supporting information. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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